

## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/838,863	04/20/2001	Joao Augusto Mattar Neto	70317.1200	4421
7	7590 09/24/2003			
Ronald Abramson Peter A. Sullivan Hughes Hubbard & Reed LLP			EXAMINER	
			WEISS JR, JOSEPH FRANCIS	
One Battery Pa New York, NY			ART UNIT	PAPER NUMBER
·			3761	6
			DATE MAILED: 09/24/2003	7

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 07-01)

Application No. Office Action Summary

09/838,863

Applicant(s)

Neto et al. Art Unit

Examiner

Joseph Weiss

3761

	The MAILING DATE of this communication appears o	n the cover sheet with the correspondence address			
	for Reply	FO EVENE A MONTHE POM			
THE N	ORTENED STATUTORY PERIOD FOR REPLY IS SET T MAILING DATE OF THIS COMMUNICATION.				
	ions of time may be available under the provisions of 37 CFR 1.136 (a). In nadate of this communication.	o event, however, may a reply be timely filed after SIX (6) MONTHS from the			
- If the r	period for reply specified above is less than thirty (30) days, a reply within the period for reply is specified above, the maximum statutory period will apply an	statutory minimum of thirty (30) days will be considered timely.			
Failure	to reply within the set or extended period for reply will, by statute, cause the	application to become ABANDONED (35 U.S.C. § 133).			
	ply received by the Office later than three months after the mailing date of the patent term adjustment. See 37 CFR 1.704(b).	is communication, even if timely filed, may reduce any			
Status		·			
1) 💢	Responsive to communication(s) filed on Jul 31, 20	03			
2a) 💢	This action is <b>FINAL</b> . 2b) ☐ This action	on is non-final.			
3) 🗆	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11; 453 O.G. 213.				
Disposi	tion of Claims				
4) 💢	Claim(s) <u>1-20</u>	is/are pending in the application.			
4	la) Of the above, claim(s)	is/are withdrawn from consideration.			
5) 🗆	Claim(s)	is/are allowed.			
6) 💢	Claim(s) 1-20	is/are rejected.			
7) 🗆	Claim(s)				
8) 🗆		are subject to restriction and/or election requirement.			
Applica	ation Papers				
9) 🗓	The specification is objected to by the Examiner.				
10)	· · · · · · · · · · · · · · · · · · ·	a) $\square$ accepted or b) $\square$ objected to by the Examiner.			
101	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).				
111	is all approved by dispersioned by the Everniner				
11/	If approved, corrected drawings are required in reply to this Office action.				
12)🛛	The oath or declaration is objected to by the Examin				
Priority	under 35 U.S.C. §§ 119 and 120				
	Acknowledgement is made of a claim for foreign pr	iority under 35 U.S.C. § 119(a)-(d) or (f).			
	☑ All b)☐ Some* c)☐ None of:				
	1. Certified copies of the priority documents have	e been received.			
	2.  Certified copies of the priority documents have	e been received in Application No			
	3. X Copies of the certified copies of the priority do application from the International Burea	ocuments have been received in this National Stage au (PCT Rule 17.2(a)).			
*S	see the attached detailed Office action for a list of the	e certified copies not received.			
14)	Acknowledgement is made of a claim for domestic	priority under 35 U.S.C. § 119(e).			
a)[	$\square$ The translation of the foreign language provisiona	l application has been received.			
15)	Acknowledgement is made of a claim for domestic	priority under 35 U.S.C. §§ 120 and/or 121.			
Attachm	nent(s)				
_	otice of References Cited (PTO-892)	4) Interview Summary (PTO-413) Paper No(s).			
		5) Notice of Informal Patent Application (PTO-152)			
3) 🔲 In	formation Disclosure Statement(s) (PTO-1449) Paper No(s).	6) Other:			

Art Unit: 3761

**DETAILED ACTION** 

Oath/Declaration

1. The oath or declaration is defective. A new oath or declaration in compliance with 37

CFR 1.67(a) identifying this application by application number and filing date is required. See

MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because:

It does not designate all relevant priority documents necessary to establish priority to the

date applicant asserts in the application. I.e. no supplemental oath/declaration is present noting

the PCT as a priority document.

**Specification** 

A substitute specification is required pursuant to 37 CFR 1.125(a) because the paragraph 2.

& claim carriage of the first line indents half way across the page, i.e. improper format.

A substitute specification filed under 37 CFR 1.125(a) must only contain subject matter

from the original specification and any previously entered amendment under 37 CFR 1.121. If the

substitute specification contains additional subject matter not of record, the substitute

specification must be filed under 37 CFR 1.125(b) and must be accompanied by: 1) a statement

that the substitute specification contains no new matter; and 2) a marked-up copy showing the

amendments to be made via the substitute specification relative to the specification at the time the

substitute specification is filed.

Art Unit: 3761

Claim Objections

3. Claims 1-20 objected to because of the following informalities: All claims start off with an

all caps quotation marked phrase that appears to be the title of the invention, while the language

content may serve as a preamble, the format is improper, note all claims should start off with an

article for proper grammar purposes. Appropriate correction is required.

4. Claims 4 & 12-14 are objected to under 37 CFR 1.75© as being in improper form

because a multiple dependent claim can only depend in the alternative to the other preceding

claims. See MPEP § 608.01(n). Accordingly, claims 4 & 12-14 have not been further treated

on the merits.

Claim 19, line 4, "an" should be used instead of "a" before "anatomic."

Claim 20, line 3 "that" is redundant.

Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 1-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for

failing to particularly point out and distinctly claim the subject matter which applicant regards as

the invention.

In regards to claim 1, line 3 what is "it"?

In regards to claim 1 line 4 "chamber (3)" is inconsistent with line 7 "chamber (2)".

Art Unit: 3761

7. Claim 1 recites the limitation "the action of a spring" in line 7. There is insufficient antecedent basis for this limitation in the claim.

- 8. Claim 1 recites the limitation "the angle" in line 11. There is insufficient antecedent basis for this limitation in the claim.
- 9. Claim 1 recites the limitation "the orings" in 12. There is insufficient antecedent basis for this limitation in the claim.

In regards to claim 1, line 13 what is "its"?

- 10. Claim 1 recites the limitation "the sealing rings" in 15. There is insufficient antecedent basis for this limitation in the claim.
- 11. Claim 1 recites the limitation "the secretion" in line 17. There is insufficient antecedent basis for this limitation in the claim.
- 12. Claim 1 recites the limitation "the vacuum application moment" in line 18. There is insufficient antecedent basis for this limitation in the claim.
- 13. Claim 1 recites the limitation "the interconnection component" in line 23. There is insufficient antecedent basis for this limitation in the claim.
- 14. Claim 1 recites the limitation "the plastic sheating [sic]" in line 24. There is insufficient antecedent basis for this limitation in the claim.

Does the interconnecting component claimed comprise three regions or not? Use of the adjective "basically" casts this in doubt, hence rendering the language indefinite.

Art Unit: 3761

Does the anterior region comprise three ring ribs or not? Use of the adjective "preferably" casts this in doubt, hence rendering the language indefinite.

In regards to claim 1, line 21, applicant sets forth a "rim connection (14)," then in line 30 this is set forth as the internal wall external connection (14)? Which is it, please correct.

15. Claim 1 recites the limitation "the internal wall external connection" in line 30. There is insufficient antecedent basis for this limitation in the claim.

In regards to lines 22 & 32 what is a "tightering" element? Do you mean "tightening" element?

In regards to claim 1, line 34 what is "its"?

In regards to lines 24, 37, 40, 48 and 53-54 "sheating" should be --sheeting--

- 16. Claim 1 recites the limitation "the positioning place" in line 38. There is insufficient antecedent basis for this limitation in the claim.
- 17. Claim 1 recites the limitation "the rim of a probe" in line 39. There is insufficient antecedent basis for this limitation in the claim.

In regards to claim 1, line 40 what is "its"?

- 18. Claim 1 recites the limitation "the ring set" in line 47-48. There is insufficient antecedent basis for this limitation in the claim.
- 19. Claim 1 recites the limitation "the other trunk" in 51. There is insufficient antecedent basis for this limitation in the claim.

Art Unit: 3761

20. Claim 1 recites the limitation "the other retention ring" in line 53. There is insufficient antecedent basis for this limitation in the claim.

21. Claim 2 recites the limitation "the vacuum" in line 6. There is insufficient antecedent basis for this limitation in the claim.

In regards to claim 6, line 5 what is "its"?

In regards to claim 6, What is a limiting and making way?

22. Claim 7 recites the limitation "the external wall" in line 6. There is insufficient antecedent basis for this limitation in the claim.

- 23. Claim 7 recites the limitation "the limiting and marking means" in line 8. There is insufficient antecedent basis for this limitation in the claim.
- 24. Claim 8 recites the limitation "the terminal wall" in lines 5-6. There is insufficient antecedent basis for this limitation in the claim.

Use of "the coupling" in the last two lines of claim 8 renders the claim indefinite since this term is also used as a previous element, yet here it is used as a verb

In regards to claim 10, the tightering element 43, was set forth as tightering element 16, is this a second tightering element. Also this appears to be an attempt to set forth a "tightening" element.

25. Claim 10 recites the limitation "the chamber (50)" in line 8. There is insufficient antecedent basis for this limitation in the claim. Note use of chamber language in the base claim,

Art Unit: 3761

is this a second chamber? Or is it referring to the same chamber but just inconsistent reference number?

26. Claim 10 recites the limitation "the sphere shaped element" in line 8. There is insufficient antecedent basis for this limitation in the claim.

In regards to claim 11, line 5 what is "it" and "its"?

- 27. Claim 11 recites the limitation "the function" in line 3. There is insufficient antecedent basis for this limitation in the claim.
- 28. Claim 11 recites the limitation "the internal environment" in line 4. There is insufficient antecedent basis for this limitation in the claim.
- 29. Claim 15 recites the limitation "the ventilating equipment" in line 5. There is insufficient antecedent basis for this limitation in the claim.

In regards to the language "incorporates sealing rings o-rings type (60)" is awkward, does not make sense and renders the claim indefinite, please re-phrase.

- 30. Claim 18 recites the limitation "the air flow entrance angle" in line 5. There is insufficient antecedent basis for this limitation in the claim.
- 31. Claim 19 recites the limitation "the system" in line 6. There is insufficient antecedent basis for this limitation in the claim.
- 32. Claim 19 recites the limitation "the latter" in line 6. There is insufficient antecedent basis for this limitation in the claim.

Art Unit: 3761

33. Claim 19 recites the limitation "the secretion accumulation" in line 8. There is insufficient

antecedent basis for this limitation in the claim.

34. Claim 20 recites the limitation "the external part of the probe" in lines 5-6. There is

insufficient antecedent basis for this limitation in the claim.

This list of issues of definity, while thorough, is not exhaustive, and applicant should

carefully review all the claim language to resolve all issues of definity.

Response to Amendment

Applicant's petition and amendments have partially resolved the priority objection to the

specification and the 35 USC 102(d) rejection, but have not resolved all the issues as noted in the

prior action and/or which are noted above.

Conclusion

35. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time

policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR

1.136(a) will be calculated from the mailing date of the advisory action. In no event, however,

Art Unit: 3761

will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Joseph F. Weiss, Jr., whose telephone number is (703) 305-0323. The Examiner can normally be reached from Monday-Friday from 8:30 AM to 4:30 PM.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Weilun Lo, can be reached at telephone number (703) 308-2702. The official fax number for this group is (703) 305-3590 or x3591.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0858.

September 16, 2003

WEILUN LO SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3700